

Please type a plus sign (+) inside this box →



(Modified) PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number

09/539,486

Filing Date

March 30, 2000

First Named Inventor

Sergey A. Selifonov

Group Art Unit

Unassigned

Examiner Name

Unassigned

Attorney Docket Number

02-028940US

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

Receipt  
acknowledgement  
postcard

### Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

The Law Offices of Jonathan Alan Quine

Signature

*Jonathan A. Quine*

Date

October 6, 2000

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **October 6, 2000**

Typed or printed name

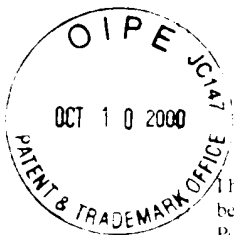
Alexandra Allison

Signature

*Alexandra Allison*

Date

October 6, 2000



RECEIVED

I hereby certify that this correspondence is  
being deposited with the United States  
Postal Service as first class mail in an envelope addressed to

Assistant Commissioner for Patents,

Washington, D.C. 20231.

On October 6, 2000

The Law Offices of Jonathan Alan Quine

By Alexandra Allison

Alexandra Allison

Attorney Docket No. 02-028940US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sergey A. Selifonov, *et al.*

Application No.: 09/539,486

Filed: March 30, 2000

For: Methods for Making Character  
Strings, Polynucleotides and Polypeptides  
Having Desired Characteristics

Examiner: Unassigned

Art Unit: Unassigned

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR § 1.97 and § 1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached PTO-1449 form are being called to the attention of the Examiner to make of record references cited in parent application USSN 09/416,375, filed October 12, 1999. Pursuant to 37 CFR § 1.98(d), copies of references cited in parent application USSN 09/416,375, filed October 12, 1999 are not provided. However the applicants will gladly provided fresh copies of any references requested by the Examiner. It is respectfully requested that the cited information on the previously submitted 1449 form for

application USSN 09/416,375, filed October 12, 1999 be expressly considered during the prosecution of this application, and that references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Jonathan A. Quine  
Reg. No. 41,261

The Law Offices of Jonathan Alan Quine  
P.O. Box 458  
Alameda, CA 94501  
Tel: (510) 337-7871  
Fax: (510) 337-7877

JAQ:afa